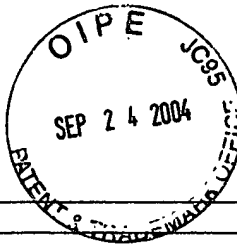


STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b)) – INDEPENDENT INVENTOR

DOCKET NUMBER. 301502.1000-000



Applicant or Patentee: John J. Halloran

Application or Patent No. 09/891,879

Filed or Issued: June 26, 2001

For: Potential Energy Storage System

As a below name inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

☐ the specification filed herewith with title as listed above.

☒ the application identified above.

☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR(d) or a nonprofit organization under 37 CFR 1.9(e)

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization exists.

☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

John J. Halloran

NAME OF INVENTOR

John J. Halloran
Signature of Inventor

8/16/04
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John J. Halloran

Application No.: 09/891,879 Filed Date: June 26, 2001

Confirmation No.: 9058 Group: 3635 Examiner: R. Canfield

For: Potential Energy Storage System

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
on <u>September 21, 2004</u>	<u>Mary P. McDermott</u>
Date	Signature
<u>MARY P. McDERMOTT</u>	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SMALL ENTITY STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement in the above-identified patent application.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

By Thomas O. Hoover
Thomas O. Hoover
Date: September 21, 2004
Registration No.: 32,470
Direct tel.: (508) 416-2475
Telephone: (508) 879-5700
Facsimile: (508) 929-3073

Bowditch & Dewey, LLP
161 Worcester Road
P.O. Box 9320
Framingham, Massachusetts 01701-9320